

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

### BLACK BORDERS

- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS

### BLACK OR VERY BLACK AND WHITE DARK PHOTOS

- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



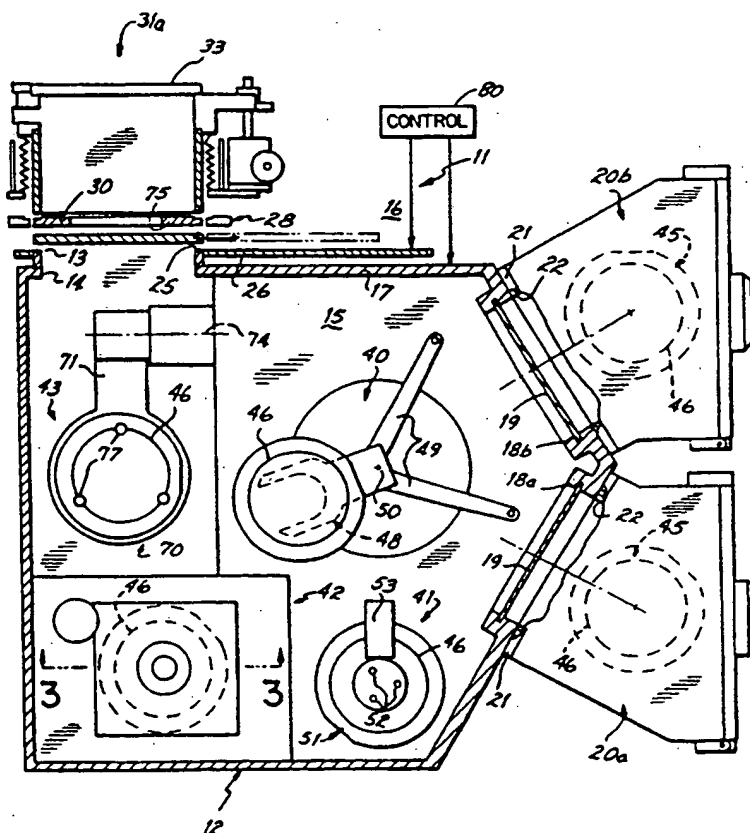


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup>:</b> <b>H01L 21/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 94/14185</b> <b>(43) International Publication Date:</b> 23 June 1994 (23.06.94)
<b>(21) International Application Number:</b> PCT/US93/11765 <b>(22) International Filing Date:</b> 3 December 1993 (03.12.93) <b>(30) Priority Data:</b> 07/985,300 4 December 1992 (04.12.92) US <b>(71) Applicant:</b> MATERIALS RESEARCH CORPORATION [US/US]; Route 303, Orangeburg, NY 10962 (US). <b>(72) Inventor:</b> FLEGAL, Christopher. 16 John Street, New City, NY 10956 (US). <b>(74) Agents:</b> JORDAN, Joseph, R. et al.; Wood, Herron & Evans, 2700 Carew Tower, Cincinnati, OH 45202 (US).		<b>(81) Designated States:</b> AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>

**(54) Title:** WAFER PROCESSING MACHINE VACUUM FRONT END METHOD AND APPARATUS**(57) Abstract**

A carousel type wafer processing machine in which wafers are held in vertical orientations in holders on a rotatable plate and sequenced through a plurality of processing stations for processing, such as by the application of a sputtered film thereto, is provided with a vacuum front end module that transfers wafers between cassette modules in which the wafers are horizontally disposed and a loading and unloading station of the processing machine, through a transfer chamber. The transfer chamber includes a wafer transfer arm, an aligning station, a preheating and degassing station, a cooling station preferably combined with the preheating station, and an uprighting station from which wafers are exchanged with the processing machine. The arm moves unprocessed wafers individually from a cassette module to the aligner, then to the preheating station, then to the uprighting station. The arm also moves processed wafers from the uprighting station, to the cooling station and to a cassette module. Preferably, one cassette module is being vented, reloaded or replaced, and pumped to a vacuum while the wafers of the other are being cycled through the processing machine.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

- 1 -

**WAFER PROCESSING MACHINE VACUUM FRONT END  
METHOD AND APPARATUS**

The present invention relates to the processing of wafers such as semiconductor wafers, and, more particularly, to pre and post processing handling and treatment of wafers in a vacuum between wafer cassette modules and a multistation wafer processing machine.

**Background of the Invention:**

10 In the processing of semiconductor wafers, processes such as sputter coating processes for the deposition of films, such as films of aluminum, are employed. Such processes are often carried out in machines such as, for example, that disclosed in  
15 U.S. Patent No. 4,909,695, assigned to the assignee of the present application, and expressly incorporated by reference herein. In such machines, wafers are individually introduced from an external atmosphere, through a loadlock onto one  
20 of a plurality of wafer holders in a vertically disposed circular plate, and then moved, by rotating the plate about a horizontal axis, to index it sequentially through a plurality of

- 2 -

processing stations in which a series of process steps are performed carried out. Such steps may include, for example, sputter etching and sputter coating steps such as those that result in application of an aluminum coating to the wafers. Typically, throughput for such a machine is slightly more than one minute per wafer for deposition of a one micron film of aluminum.

In introducing the wafers from the external atmosphere, inevitably some particulate or other contamination is introduced into the internal environment of the processing machine. Ideally, introduction of such contaminants should be totally prevented or at least kept at a minimum, as each particle or contaminant can result in a defective device on the wafer and thus reduce the ultimate value of the wafer.

Further, in such a processing machine, the performance of an initial preheating or degassing step is desirable. Such a step may be performed in the loadlock of the machine, and provision therefore has been made by a backplane heater in the apparatus disclosed in the patent incorporated above. In the loadlock chamber after being introduced from the external atmosphere, such degassing to drive off water vapor from the wafer is of limited effectiveness. Unremoved gases are a

- 3 -

potential process contaminant that can also adversely affect the quality of a deposited film.

5 The processing of a wafer to produce completed semiconductor devices involves more processes than are performed in machines of the type referred to above. Some processes are performed before, and others after, introduction of the wafers into such a machine. The transporting of wafers between machines often results in  
10 contamination or deterioration of the wafers by exposure to atmosphere. With wafers coated with titanium-tungsten (TiW) films, for example, their exposure to ambient atmosphere before or after a subsequent coating cycle allows oxygen to contact  
15 and affect the TiW film, causing metallurgical change, introducing stress in the TiW film, or causing the film to fracture and flake.

In the processing of wafers described above, there is a need to increase the throughput  
20 of the wafer processing equipment, particularly equipment of the vertical carrousel type described in the patent incorporated above, and to reduce and remove contaminants from the process performed in the apparatus.

25 In the commonly assigned and copending U.S. Patent application Ser. No. 07/701,800, entitled WAFER PROCESSING CLUSTER TOOL BATCH

- 4 -

## PREHEATING AND DEGASSING METHOD AND APPARATUS,

expressly incorporated herein by reference, further

needs for pre and post processing handling and

treating of wafers, and for the interfacing of

5 processing equipment with standard wafer cassette

modules are set forth. In such cassette modules,

wafers are horizontally oriented in a vertical

stack in a plastic rack, and the modules are each

sealed to a port of a transfer module and pumped to

10 a vacuum before opening the port. The pumping of

one such module typically takes more than ten

minutes. Such modules may also be sealed with

wafers enclosed therein and moved from machine to

machine.

15 There is a particular need to efficiently

and effectively interface wafer processing

equipment, particularly of the vertical carrousel

type, with such cassette modules in a way that will

optimize the use of the coating machine.

20 Summary of the Invention:

A primary objective of the present

invention is to provide a wafer processing machine,

particularly a serial or sequential vertical wafer

processing machine, for example of the carrousel

25 type, with vacuum front end unit or module that is

capable of for rapidly transferring wafers to and

from a single access port of the vertical



- 5 -

processing machine from and to multiple wafer  
sealable cassette modules, particularly those in  
which in which the wafers are horizontally  
disposed, while maintaining isolation of the wafers  
5 from external atmosphere and thereby minimizing  
contamination.

It is a further objective of the present  
invention to provide a wafer pretreatment and post  
treatment capability, particularly for the  
10 preheating or degassing of wafers prior to  
processing in the apparatus and for the post  
processing cooling of the wafers to expedite their  
return to a cassette module.

According to the principles of the present  
15 invention, a vertical sequential wafer processing  
machine is provided with a vacuum front end module  
having ports connecting to an inlet port of the  
apparatus and to a cassette loadlock port at which  
wafers are horizontally disposed. The module is  
20 preferably provided with a pair of inlet/outlet  
ports for connecting to a pair of standard wafer  
cassette modules that are sealable and evocable so  
as to serve as loadlocks for the front end module,  
which can be maintained at a vacuum pressure level  
25 and of the same atmosphere of the processing  
apparatus.

In the preferred embodiment of the

- 6 -

invention, the vacuum front end module functions as a transport module and contains therein a robot transfer assembly having an arm that is rotatable about a fixed axis and is extendable radially from the axis. Disposed within the transport module around this axis are a plurality of stations, including a wafer aligning station, a combined preheating/degassing and cooling station, and an uprighting station. Each of these stations includes a wafer receiving position in approximate horizontal alignment with a plane of the transfer arm so that the arm can deliver wafers to and receive them from each station by rotation of the arm about the axis and extending and retracting the arm and raising the arm above and lowering the arm below the plane. Each of the cassettes is also positioned so as to be similarly accessible by the transfer arm. The transfer arm is preferably of a frogleg type, having a pair of hinged two section extendable members linked together, such as disclosed in U.S. Patents Nos. 4,666,366, 4,730,976 and 4,909,701.

The arm has two vertical positions including a raised and a lowered position. At the lowered position, the arm moves into and out of slots of the cassette modules to load and unload wafers therefrom. Racks in the cassette modules

- 7 -

move vertically to present each of the wafer holding slots thereof selectively in line with this lowered arm position. At the raised position of the arm, the arm moves to and from the other stations of the module to position wafers just above the wafer receiving positions of the stations that are in approximate horizontal alignment with the lowered position of the arm, so that the arm can load and unload wafers to and from the station's receiving positions by respective downward and upward movement between the two vertical positions of the arm.

The aligning station preferably includes a non-contacting sensor, such as an LED and CCD array combination spaced respectively above and below the wafer receiving position at which a wafer is placed by the arm onto a rotatable support made of, for example, set of pins or a support table. The table rotates and the sensor detects the position of the edge of a wafer supported thereon with respect to an axis of rotation thereof and as a function of angular position. Detected wafer edge position data is processed by a microprocessor to determine the effective center of the wafer and the location of a fiducial mark such as a reference notch or flat on the wafer edge. Information thereby generated by the microprocessor is used to control

- 8 -

the transfer arm to perform what is referred to as a "delta pick-up", which compensates for any rotational or eccentricity misalignment, so that the wafer, when returned to the arm, is in a precisely known centered and oriented location with respect to the arm.

The preheating and cooling station preferably includes a support moveable between a heating position above the wafer receiving position and a cooling position below the wafer receiving position. At the heating position, the wafer is heated by a set of radiant lamps positioned at the top of the station and at the cooling position the wafer is cooled by, for example, vacuum clamping the wafer to a cooled surface at the bottom of the station.

The uprighting station preferably includes a wafer support platen at the receiving position of the uprighting station. The platen is carried on one end of a wafer uprighting arm that is pivotally supported at other end about a horizontal pivot axis. The pivot axis is generally parallel to the vertical plane of a holder of the processing apparatus. The arm is generally centered on a vertical plane perpendicular to and through the center of the holder at an inlet/outlet port of the processing apparatus, so that, by 90° rotation of

- 9 -

the arm, the platen is moved from a horizontal wafer supporting orientation at the receiving position at the uprighting station to a vertical orientation at the holder in the inlet/outlet port of the processing apparatus. The platen includes clamping means, such as clips or a vacuum chuck, for holding a wafer to the platen so that it can be moved between the holder of the apparatus and the receiving position of the uprighting station.

10 In operation, the apparatus equipped with the vacuum front end according to the principals of the present invention provides advantages of efficiency and reduced contamination of the wafers being processed. Wafers are presented to one of the inlet/outlet ports of the module in a first sealed cassette, isolated from the surrounding atmosphere. While wafers in a second cassette module are being processed, the first cassette is evacuated, whereby the processing time of the equipment is not interrupted during such pumpdown. Wafers may be loaded and unloaded to and from the cassette through a load lock door therein, or the entire cassette modules may be sealed and exchanged, with the wafers sealed therein, so the wafers can be transported between machines without exposure to ambient atmosphere.

Once the first cassette is evacuated, a

- 10 -

gate between the cassette and module is opened and the elevator within the cassette sequentially presents the wafers individually to a transfer position vertically aligned in the horizontal plane of the lowered position of the transfer arm. The arm extends and removes the wafer at the transfer position by retracting from the cassette and then raises, rotates and lowers to place the wafer at the receiving position at the aligning station.

When the alignment data is collected by the sensor of the aligner, the arm picks up the wafer from the aligner, properly aligned by arm movements responsive to the alignment data, and similarly places the aligned wafer at the receiving position of the preheating and cooling station on a support. The support then lifts the wafer and the lamps are energized, thereby degassing the wafer. From the preheating station, the wafer is then similarly moved by the transfer arm to the platen of the uprighting station, to which it is clamped and then tilted to a vertical orientation at which it is placed in the holder at the of in the inlet/outlet port of the processing apparatus.

This wafer is then carried to the first processing station of the processing apparatus by rotation of an index plate therein that carries the holder. This rotation brings a processed wafer

- 11 -

from the last station within the processing apparatus into the inlet/outlet port.

From the inlet/outlet port of the processing apparatus, a processed wafer is picked up by the platen in its vertical orientation and lowered and rotated to a horizontal orientation at the receiving position of the uprighting station, where it is picked up by the transfer arm and transferred to the preheating and cooling station. At the preheating and cooling station, the processed wafer is lowered to the cooling position at which it is vacuum clamped onto a cooled support and rapidly cooled to a temperature at which it can be placed into a standard plastic wafer supporting rack in a cassette module, for example the first cassette, to which it is then directly transferred by the transfer arm.

During the processing of wafers from, and reloading wafers to, the first cassette, the second cassette is exchanged to bring a fresh set of unprocessed wafers to the apparatus, and the second cassette is pumped to the vacuum level of the module. The gate to the first cassette is then closed and the gate to the second cassette is opened. Also, the movements of wafers incident to the exchange of processed and unprocessed wafers at the input/output port of the machine, and the pre

- 12 -

and post processing treatment thereof, takes place during a machine process cycle without delay of the machine or other loss of processing time.

5       The combination and procedure outlined above provides for fast efficient and continuous throughput of wafers to the carrousel type wafer processing apparatus, while retaining the wafers in an clean atmosphere isolated from ambient atmosphere. It also provides for effective and  
10       efficient preheating and post processing cooling of the wafers, alignment of the wafers to prevent damage thereof, and change of attitude, between horizontal and vertical, to interface with corresponding equipment.

15       These and other objectives and advantages of the present invention will be apparent from the following detailed description of the drawings in which:

**Brief Description of the Drawings:**

20       Fig. 1 is an isometric diagram of a vertical processing sequential carrousel type wafer processing apparatus equipped with a vacuum front end module according to principals of the present invention.

25       Fig. 2 is a top plan view taken along the line 2-2 of Fig. 1 illustrating the apparatus of Fig. 1.



- 13 -

Fig. 3 is a side cross sectional diagram of the preheating/cooling station of the apparatus of Fig. 1 taken along the line 3-3 of Fig. 2.

Detailed Description of the Drawings:

5 Referring to Figs. 1 and 2, a wafer processing apparatus 10 is illustrated that includes a carrousel type wafer processing machine 11 equipped with a vacuum front end module 12. The machine 11 is, for example, of the type described  
10 in U.S. Patent No. 4,909,695, referred to above, and incorporated by reference herein. The machine disclosed in this patent is modified to eliminate the wafer handling front end disclosed in the patent in Figs. 4 through 15B thereof, which is  
15 replaced with the module 12 described herein. The loadlock door of the machine disclosed in this patent has also been removed to form an inlet/outlet port 13, which connects to a corresponding machine interface port 14 of the  
20 module 12, and permanently communicating the vacuum atmosphere of the interior 15 of the module 12 with that of that of the main chamber 16 of the processing apparatus 11. This permanent connection is practical with the machine of the patent in that  
25 each of the processing stations therein is separately isolatable from the main chamber 16. Without such separate isolation feature, other

- 14 -

means would be preferred to prevent the processes within the apparatus from propagating contaminants into the module 12.

The module 12 is equipped with a closed housing 17 which is provided with two cassette loading and unloading ports 18, illustrated as 18a and 18b, to which are connected two cassette carriers 20, illustrated as 20a and 20b, respectively. The cassette carriers 20 are conventional cassette modules having a gate 21 that allows for the sealing of the port 22 thereto when cassette modules 20 are exchanged. The transport module 12 is also provided with a gate valve 19 to seal each of the ports 18 therein when the cassette modules 20 are changed. The port 22 of the modules 20 sealably connects to a respective one of the ports 18 of the module 12 so that the gate valves 19 and 21 can be opened to pass wafers therethrough while under vacuum. This is better illustrated in Fig. 2.

Referring to Fig. 2, interior 15 of the front end module 12 is illustrated surrounded by the housing 17. The machine interface port 14 is an opening in the housing 17, which opening is surrounded by a seal 25 that seals the housing 17 to a machine housing 26 that encloses the main chamber 16 of the machine 11, thereby aligning the

- 15 -

opening with the inlet/outlet port 14 of the machine 11.

In the main chamber 16 of the machine 11 is an index plate 28, rotatable about a horizontal axis 29, containing a plurality wafer holders 30, for example four in number, spaced at equal circumferencial intervals about the axis 29 to align with a corresponding plurality of stations 31, illustrated as 31a-31d, in Fig. 1. The stations 31b-31d are processing stations, such as sputter coating and sputter etching stations, and the station 31a is a loading and unloading station to which access is provided by the port 14. Because the module 12 is connected to the inlet/outlet port 14 of the machine 11, an access door 33 is provided for the servicing of the holder 30.

As illustrated in Fig. 2, the module 12 includes the two cassette modules or loadlocks 20a, 20b, a frogleg robot arm 40, a wafer aligner 41, a preheating and cooling station 42 and an uprighting mechanism 43.

Each of the loadlocks or cassette modules 20 includes a standard wafer elevator rack 45 in which are typically carried a set of twenty-five horizontally disposed and vertically spaced wafers 46. The racks 45 move vertically in the loadlocks

- 16 -

20 to bring each of the wafers selectively into alignment with a horizontal transfer plane of the module 12.

5 The transfer arm 40 includes a wafer gripper 48 at the end of extendable hinged members 49. The arm 40 is rotatable about a vertical transfer axis 50 and is moveable axially in a vertical direction between a lowered position in the horizontal transfer plane of the module 12 and  
10 a raised position slightly thereabove. The grippers 48 are moveable radially toward and away from the axis 50 by operation of the extendable members 49 of the arm 40.

15 The wafer aligner 41 a rotatable wafer support 51 formed of the upper ends of three pins 52, which lie in the horizontal transfer plane of the module 12 and onto which a wafer is placed by the gripper 48 of the arm 40. The aligner 41 includes a LED source 53, positioned above the  
20 transfer plane, and a CCD receiver (not shown) spaced directly below the LED 53 below the transfer plane. On the table 51 a wafer is rotated and the position of its edge is sensed to generate data to be interpreted by a microprocessor to control  
25 movements of the arm 40 that compensate for rotational and off-center misalignment of the wafer.

- 17 -

The preheating and cooling station 42 is best illustrated in Fig. 3 which shows the components thereof positioned relative to the horizontal transfer plane 55. A vertically  
5 moveable wafer support table in the form a ring shaped poppet 56 is shown in an upper position. From this position, the poppet 56 is lowered into alignment with the plane 55 to receive a wafer from the transfer arm gripper 48, which will move into  
10 the station 42 by extension of the frogleg members 49 while the transfer arm 40 is in the raised position above the plane 55. When the gripper 48 is above the poppet 56, the arm 40 lowers the wafer 46 thereon.

15 When the wafer 46 is on its way to the processing machine 11, the preheating and cooling station 42 is used to heat and degas the wafer. After processing, the wafer is cooled in the station 42. To provide the preheating function, a  
20 degassing section is provided at the top of the station 42, which includes a bank of infrared radiant heat lamps 58 positioned above a quartz window 59 at the top of the station 42. For  
25 heating of wafers 46, the poppet 56 is moved upward from the plane 55 to that illustrated in Fig. 3 to support the wafer at a degassing position 61 near the window 59.

- 18 -

To provide the cooling function, a cooling section 62 is provided at the bottom of the station 42, which includes platen 63. The platen 63 is cooled by cooling liquid that flows through ports therein (not shown). The platen 63 is provided with vacuum hold down means for clamping a wafer thereto for cooling in a position 65 against the upper surface thereof. The wafer on the ring shaped poppet 56 is placed at the cooling position 65 by downward movement to a position where it surrounds the platen 63. The poppet 56 is raised and lowered by a pneumatic cylinder 66 in the base of the station 42.

The uprighting station 43 is provided with a wafer supporting platen 70 mounted to the free end of an uprighting lever 71 that is pivotally supported to swing in a 90° arc about a horizontal axis 74 that is parallel to a vertical plane 75 that contains the index plate 28 of the processing machine 11. The lever 71 swings from a horizontal position at which the platen 70 has a wafer supporting surface facing upwardly at a wafer receiving position in the transfer plane 55 to a vertical position at which the surface of the platen 70 and a wafer thereon is transferred to or received from the holder 30 at the port 14 of the machine 11. In the horizontal receiving position,

- 19 -

the platen 70 receives a wafer from or presents a  
wafer to the gripper 48 of the transfer arm 40.  
The platen 70 is provided with clamp structure such  
as a set of three lock rollers 77 to grip the wafer  
5 on the platen at its edge and hold it thereto for  
uprighting to the port 14 or lowering to the plane  
55.

The operation of the machine 11 and of the  
module 12 are controlled and coordinated with by  
10 software in a programmable controller 80 connected  
to the operating elements of the apparatus 10.

Only one embodiment of the invention has  
been herein described, but those skilled in the art  
will appreciate that various additions,  
15 alternatives or modifications to that described may  
be employed without departing from the principles  
of the present invention. Accordingly, what is  
claimed is:

- 20 -

## Claims:

## 1. A wafer processing apparatus comprising:

a wafer processing machine having a main vacuum chamber therein, a plurality of workstations disposed at equal spaced angular intervals in a vertical plane around a horizontal axis in the main chamber, the plurality of workstations including a plurality of processing stations and a loading and unloading station, an index plate rotatably mounted in the main chamber and having a plurality of wafer holders mounted thereon at angular intervals about the horizontal axis such that the plate rotates to carry the holders sequentially through the workstations and to simultaneously position each of the holders at different ones of the workstations;

a transfer module having a vacuum transfer chamber therein, a plurality of treatment stations disposed in the transfer chamber, and a loading and unloading port communicating between the transfer chamber and the loading and unloading station of the wafer processing machine;

two wafer cassette loadlock chambers each containing means for supporting a plurality of horizontally disposed wafers in a vertical stack therein, and having an interior selectively connectable through a sealable port to the transfer chamber of the transfer module;

the treatment stations including:



- 21 -

30 a wafer aligning station having means thereat for establishing rotational and eccentric alignment of a wafer positioned thereat,

a wafer preheating station having wafer heating means thereat,

35 a wafer cooling station having wafer cooling means thereat, and

40 a wafer uprighting station including means thereat for rotating a wafer thereat and carry the wafer through the loading and unloading port between a horizontal orientation in transfer chamber to a vertical orientation in a holder in the loading and unloading station of the wafer processing machine; and

45 the transfer arm in the transfer chamber is operable to pickup a wafer from, and deposit the wafer at, a receiving position at each of the treatment stations and in each of the loadlock chambers and to transfer wafers among each of the receiving positions.

- 22 -

2. A transfer module for a wafer processing apparatus having a vacuum chamber therein, a loading and unloading station in the vacuum chamber having a holder located therein for holding a wafer in a vertical orientation, comprising:

5 a vacuum transfer chamber;

a radially extendable wafer transfer arm pivotal about a vertical axis within the transfer chamber;

a plurality of treatment stations disposed approximately in a horizontal plane about the vertical axis in the transfer chamber;

a loading and unloading port communicating between the transfer chamber and the loading and unloading station of the wafer processing machine;

two wafer cassette loadlock chambers each containing a rack for supporting a plurality of horizontally disposed wafers in a vertical stack therein, and having an interior selectively connectable through a sealable port to the transfer chamber;

the treatment stations including a wafer aligning station having means thereat for generating signals containing information of rotational and eccentric alignment of a wafer

- 23 -

positioned thereat without physically contacting the wafer;

the treatment stations also including a two section temperature treatment station, including a preheating section having wafer heating means thereat, a cooling section having wafer cooling means thereat, and a moveable wafer support for moving a wafer between a receiving position and each of the sections;

a wafer uprighting station including a platen thereat having means for holding a wafer thereto, the platen being pivotally mounted in the transfer module to swing, and carry a wafer, through the loading and unloading port between a horizontal orientation in the horizontal plane in the transfer chamber to a vertical orientation in the holder in the loading and unloading station of the machine; and

the transfer arm including an extendable member pivotally connected at an end thereof at the vertical axis and having a wafer engager at a free end thereof, the arm being operable to engage a wafer with the engager and pickup the wafer from, and deposit the wafer at, a receiving position at each of the treatment stations and in each of the racks of the loadlock chambers, and to transfer wafers among each of the receiving positions.

- 24 -

3. A method of handling and processing wafers comprising the steps of:

providing a plurality of horizontally oriented wafers spaced in a vertical stack in at least one cassette module, including a first cassette module, in a vacuum environment in communication with a transfer chamber;

moving a horizontally oriented wafer from the first cassette module to an aligning station in the transfer chamber and aligning the wafer thereat;

moving the aligned wafer from the aligning station to a preheating station in the transfer module and heating the wafer to degas the wafer;

moving the degassed wafer from the preheating station to an uprighting station in the transfer module;

moving the wafer from a horizontal orientation at the uprighting station through a loading port to a vertical orientation in a loading station in a wafer processing machine;

processing the wafer while in the vertical orientation in the wafer processing machine;

moving the processed wafer from the vertical orientation in the loading station of the

- 25 -

wafer processing machine through the loading port  
and to a horizontal orientation at the uprighting  
30 station;

moving the wafer from the uprighting  
station to a cooling station in the transfer module  
and cooling the wafer thereat; and

moving the wafer from the cooling station  
35 to a storage position in the cassette module.

- 26 -

4. The method of claim 3 wherein:

the preheating station and cooling station are located in the transfer chamber at a preheating and cooling station;

5 the steps of moving the wafer to the preheating station and the cooling station include the step of moving the wafer to a receiving position at the preheating and cooling station and then moving the wafer from the receiving position  
10 to the respective heating or cooling station.

5. The method of claim 3 wherein:

the at least one cassette module further includes a second cassette module; and

the method further comprises the step of  
5 replacing a plurality of processed wafers in the second cassette module with a plurality of unprocessed wafers while wafers from the first cassette module are being processed.

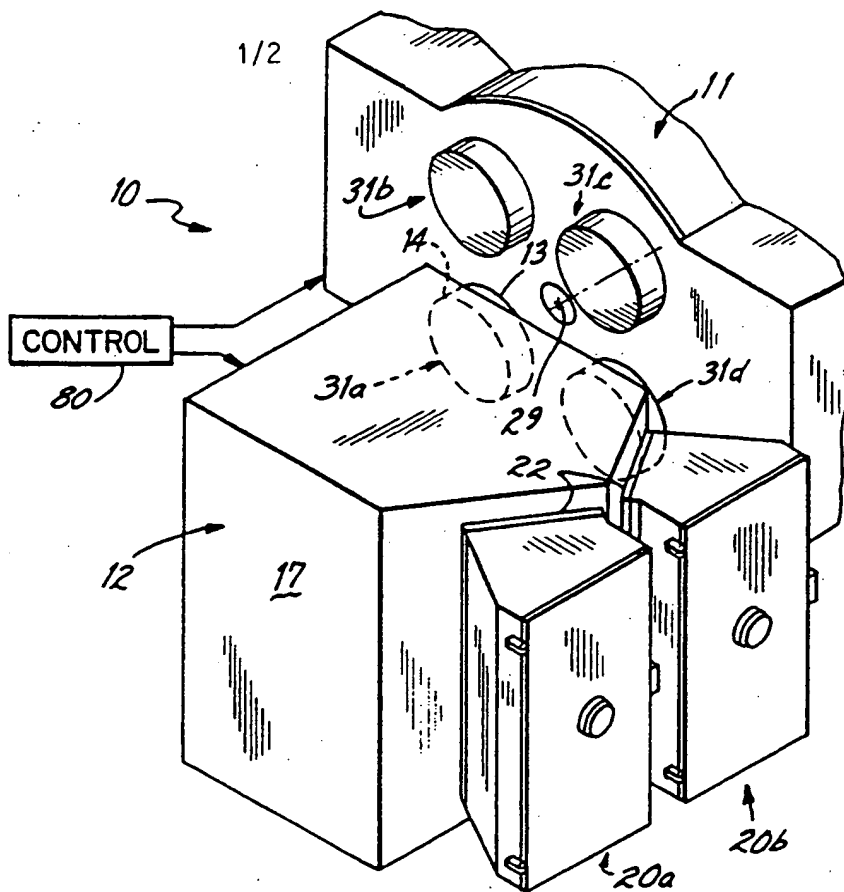


FIG. 1

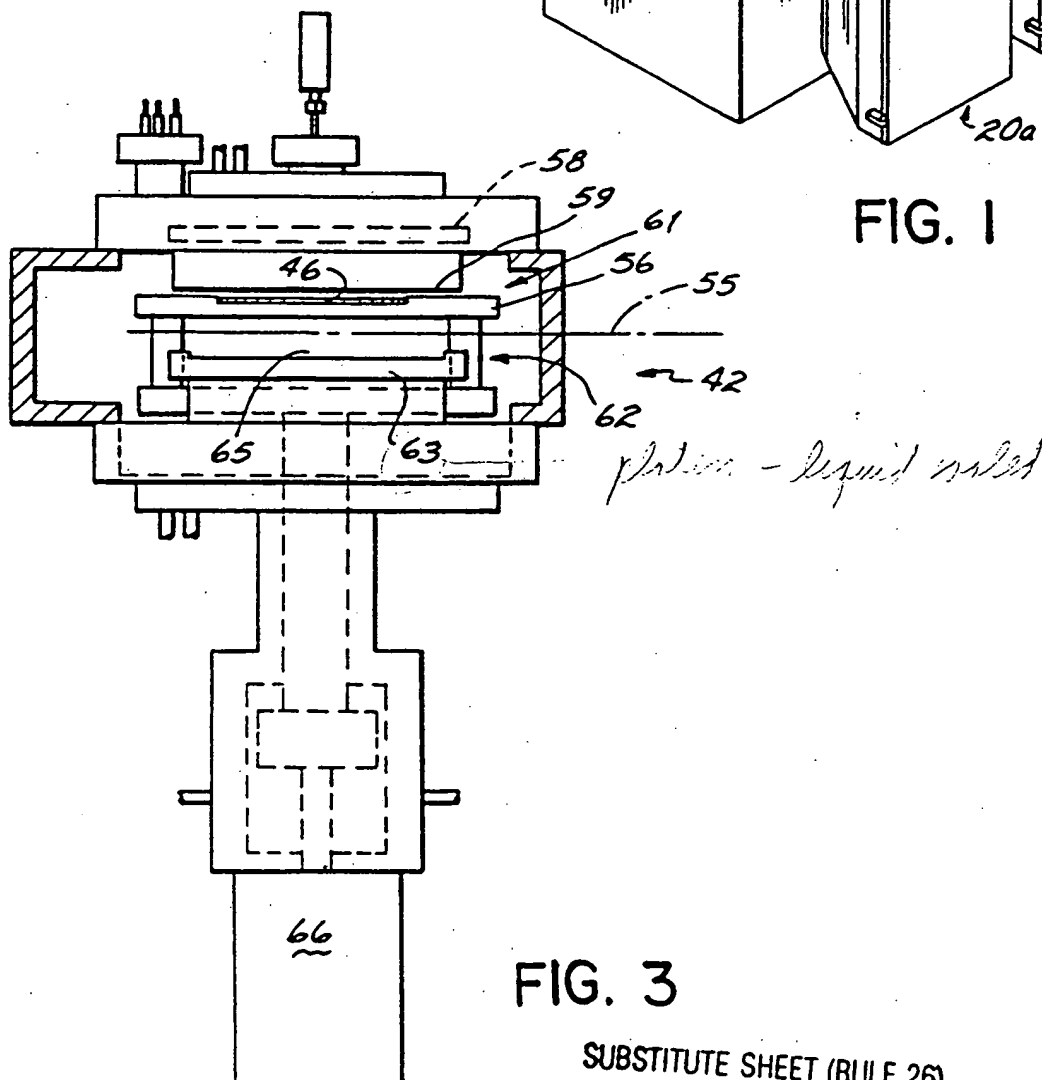
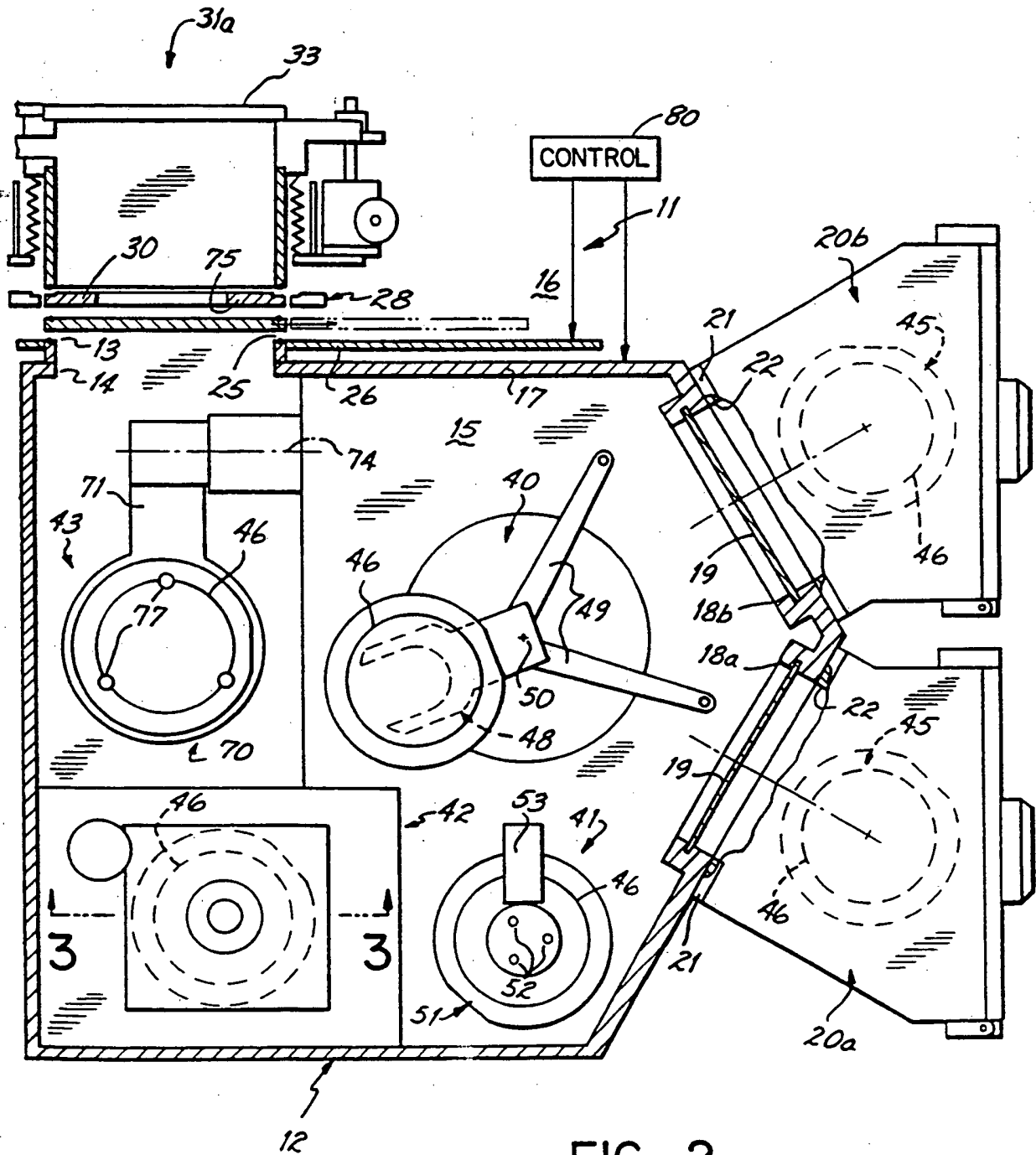


FIG. 3

2/2





## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 93/11765

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 5 H01L21/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 5 H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PATENT ABSTRACTS OF JAPAN vol. 15, no. 387 (E-1117)30 September 1991 & JP,A,03 155 619 (ANELVA CORP) 3 July 1991 see abstract ---	1-3
A	EP,A,0 502 412 (TOKYO ELECTRON LIMITED) 9 September 1992 see column 7, line 41 - column 9, line 26; figure 1 ---	1-3
A	DE,A,37 14 045 (VARIAN ASSOCIATES INC.) 5 November 1987 see page 11, line 26 - page 11, line 62; figure 12 -----	1-3

☐ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&amp;\* document member of the same patent family

Date of the actual completion of the international search

18 March 1994

Date of mailing of the international search report

25.03.94

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Bolder, G

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-0502412	09-09-92	US-A- 5248886	28-09-93
DE-A-3714045	05-11-87	GB-A- 2193482	10-02-88
		JP-A- 63042142	23-02-88